



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : BEN-LEVI et al.
Serial No. : 09/943,442
Filed : 30 August 2001
Title : COMPUTER TRADING OF FINANCIAL INTERESTES
Examiner : Not yet assigned Group Art Unit: Not yet assigned

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE OF INCOMPLETE REPLY
and Petition for Extension of Time Pursuant to 37 CFR 1.136(a)**

This paper is filed in response to the Notice of Incomplete Reply mailed herein 20 March 2002.

PETITION FOR EXTENSION OF TIME

A five - month extension of time to 3 May 2002 is requested under 37 C.F.R. §1.136(a) in which to respond to the Notice to File Missing Parts mailed herein 3 October 2001. A check including \$1,040.00 for additional fees required under 37 C.F.R. §1.17 is attached.

Applicant previously filed a response to the Notice to File Missing Parts mailed 3 October 2001. That response included a petition for a three-month extension of time, with payment of fees in the amount \$920. The current fee for an extension of five months is \$1,960. Applicant herewith submits a check in payment of the difference of \$1,040. A copy of the previous three-month petition is attached hereto.

Please charge any deficiency or credit any overpayment in the payment of any fee associated with this response, or any fees required during the pendency of



the application, to Deposit Account No. 02-4270.

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Enclosed herewith in response to the Notice of Incomplete Reply mailed 20 March 2002 are:

- 1) A copy of the Notice of Incomplete Reply; and
- 2) Forty-two (42) sheets of drawings in compliance with 37 CFR § 1.84, as required by the Notice.

CONCLUSION

Applicants believe that they have fully responded to the concerns stated in the Notice. Applicants respectfully request that the application be advanced to substantive examination.

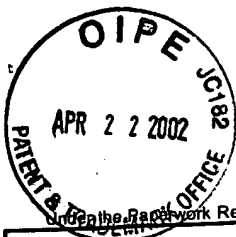
Applicant requests that any questions concerning this matter be directed to the undersigned at (212) 895-2906.

I certify that this paper, together with any documents referred to as attached or enclosed, is being deposited this date with the United States Postal Service with sufficient postage as first class mail, addressed to: Commissioner of Patents, Washington, D.C., 20231.

Respectfully submitted,

Dated: 15 April 2002

Matthew J. Marquardt
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(212) 895-2000
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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)
3524/30

In re Application of BEN-LEVY, et al.

Application Number 09/943.442

Filed 08/30/01

For COMPUTER TRADING OF FINANCIAL INTERESTS

Group Art Unit 2164

Examiner
TBD

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

☐ One month (37 CFR 1.17(a)(1))

\$ _____

☐ Two months (37 CFR 1.17(a)(2))

\$ _____

☒ Three months (37 CFR 1.17(a)(3))

\$ 920.00

☐ Four months (37 CFR 1.17(a)(4))

\$ _____

☐ Five months (37 CFR 1.17(a)(5))

\$ _____

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____.

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4270.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

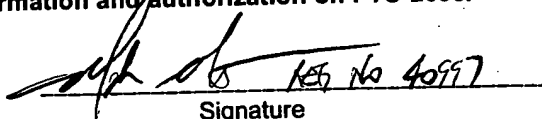
☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) _____.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

4 MARCH 2002

Date



Signature

Matthew J. Marquardt

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of One forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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